

## IN THE CLAIMS:

The text of all pending claims, (including withdrawn claims) is set forth below. Cancelled and not entered claims are indicated with claim number and status only. The claims as listed below show added text with underlining and deleted text with ~~strikethrough~~. The status of each claim is indicated with one of (original), (currently amended), (cancelled), (withdrawn), (new), (previously presented), or (not entered).

1. (Currently Amended) A wavelength division multiplexing optical transmission system, comprising:

a plurality of optical senders outputting in which each signal light lights with different wavelengths ~~output from a plurality of optical senders is multiplexed by and filtered by a plurality of respective filters to yield filtered signal lights having respective bit rates and frequency spacing to approach a spectrum efficiency maximizing a product of a transmission distance and a transmission capacity of the system;~~

an optical multiplexer multiplexing the filtered signal lights to be transmitted to an optical transmission path, ~~and as a wavelength division multiplexing signal light propagated through the optical transmission path; and~~

an optical demultiplexer demultiplexing is demultiplexed the wavelength division multiplexing signal light ~~depending on having different~~ respective wavelengths ~~by an optical demultiplexer to be received by a plurality of optical receivers,~~

wherein the type of modulation of said signal light is determined to be an NRZ modulation type, and

wherein ~~when it is assumed that the~~ an equation model expressing the transmission characteristics of said optical multiplexer and said optical demultiplexer is expressed by the following equation in which ~~the shape of each transmission band T(f) corresponding to the wavelength of each signal light is expressed by using a frequency f, the~~ where fc is a center frequency fc of the transmission band, and Δf is a full width at half maximum Δf of the transmission band, and as a filter order "n"

$$T(f) = 10 \cdot \log \left[ \exp \left\{ -2 \cdot \ln \sqrt{2} \cdot \left( \frac{|f - f_c|}{\Delta f / 2} \right)^{2n} \right\} \right] \quad (\text{dB})$$

~~each of said plurality of optical senders generates signal light in which a bit rate and~~

~~frequency spacing thereof are set so as to approach spectrum efficiency at which the product of a transmission distance and a transmission capacity becomes a maximum value, said product being calculated based on the assumed equation model, and wherein said optical multiplexer and said optical demultiplexer have transmission characteristics in which transmission bandwidth is set in accordance with said equation model, and also according to the spectrum efficiency at which the product of the transmission distance and the transmission capacity becomes a maximum value.~~

2. (Canceled),

3. (Previously Presented) A wavelength division multiplexing optical transmission system according to claim 1,

wherein said filter order "n" is secondary, and the spectrum efficiency at which the product of said transmission distance and said transmission capacity becomes the maximum value is 0.574bit/s/Hz.

4. (Original) A wavelength division multiplexing optical transmission system according to claim 3,

wherein, when the bit rate B and frequency grid I per one wave of the signal light are given in advance, a natural number "k" is selected so as to minimize a difference between the spectrum efficiency  $B/(kl)$  where "k" is the natural number, and the spectrum efficiency at which the product of said transmission distance and said transmission capacity becomes the maximum value, so that frequency spacing  $S=kl$ , of the signal light is set in accordance with the natural number "k".

5. (Original) A wavelength division multiplexing optical transmission system according to claim 4,

wherein, when a value  $B/I$  obtained by dividing said bit rate B by said frequency grid I is 1.6 to 2.0bit/s/Hz, 3 is selected as said natural number "k".

6. (Original) A wavelength division multiplexing optical transmission system according to claim 5,

wherein, when 40 to 50Gbit/s is given as said bit rate B, and 25GHz interval is given as said frequency grid I, frequency spacing is set to 75GHz.

7. (Original) A wavelength division multiplexing optical transmission system according to claim 4,  
wherein, when a value  $B/I$  obtained by dividing said bit rate  $B$  by said frequency grid  $I$  is 1.6bit/s/Hz, and 3 is selected as said natural number " $k$ ",  
said optical multiplexer and said optical demultiplexer have transmission characteristics following said equation model in which said filter order " $n$ " is 1.2 or more.

8. (Original) A wavelength division multiplexing optical transmission system according to claim 7,  
wherein said optical multiplexer and said optical demultiplexer have transmission characteristics in which a value  $\Delta f/f_b$  obtained by dividing full width at half maximum  $\Delta f$  of said transmission band by a clock frequency  $f_b$  of the signal light, is within a range of 1.50 to 1.90.

9. (Original) A wavelength division multiplexing optical transmission system according to claim 4,  
wherein, when a value  $B/I$  obtained by dividing said bit rate  $B$  by said frequency grid  $I$  is 1.7bit/s/Hz, and 3 is selected as said natural number " $k$ ",  
said optical multiplexer and said optical demultiplexer have transmission characteristics following said equation model in which said filter order " $n$ " is 1.5 or more.

10. (Original) A wavelength division multiplexing optical transmission system according to claim 9,  
wherein said optical multiplexer and said optical demultiplexer have transmission characteristics in which a value  $\Delta f/f_b$  obtained by dividing full width at half maximum  $\Delta f$  of said transmission band by a clock frequency  $f_b$  of the signal light, is within a range of 1.45 to 1.95.

11. (Original) A wavelength division multiplexing optical transmission system according to claim 4,  
wherein, when a value  $B/I$  obtained by dividing said bit rate  $B$  by said frequency grid  $I$  is 2.0bit/s/Hz, and 3 is selected as said natural number " $k$ ",  
said optical multiplexer and said optical demultiplexer have transmission characteristics following said equation model in which said filter order " $n$ " is 2 or more.

12. (Original) A wavelength division multiplexing optical transmission system according to claim 11,  
wherein said optical multiplexer and said optical demultiplexer have transmission characteristics in which a value  $\Delta f/f_b$  obtained by dividing full width at half maximum  $\Delta f$  of said transmission band by a clock frequency  $f_b$  of the signal light, is within a range of 1.35 to 1.70.

13. (Original) A wavelength division multiplexing optical transmission system according to claim 1,  
wherein each of said optical multiplexer and said optical demultiplexer is constituted using an arrayed waveguide grating.

14. (Original) A wavelength division multiplexing optical transmission system according to claim 1,  
wherein each of said optical multiplexer and said optical demultiplexer is constituted by combining an optical interleaver using an interference filter, and an arrayed waveguide grating.

15. (Original) A wavelength division multiplexing optical transmission system according to claim 1,  
wherein each of said optical multiplexer and said optical demultiplexer is constituted by combining an optical interleaver using an interference filter, and a dielectric multi-layer film filter.

16. (Original) A wavelength division multiplexing optical transmission system according to claim 1,  
wherein the spectrum efficiency at which the product of said transmission distance and said transmission capacity becomes the maximum value is calculated as spectrum efficiency at which a performance index  $PI=10 \cdot (-\Delta Q/10) \cdot B/S$ , which is expressed using a Q-value degradation amount  $\Delta Q$  of the system, a bit rate  $B$  and frequency spacing  $S$  of the signal light, becomes a maximum value.

17. (Currently Amended) A wavelength division multiplexing optical transmission method, comprising: of  
multiplexing a plurality of signal ~~light~~ lights with different wavelengths to transmit to an optical transmission path; and  
demultiplexing wavelength division multiplexed signal light propagated through said

optical transmission path according to wavelength to receive,

wherein the type modulation of said signal light is determined to be an NRZ modulation type, and

wherein ~~when it is assumed that the~~an equation model expressing the transmission characteristics of said optical multiplexer and said optical demultiplexer is expressed by the following equation in which the shape of each transmission band  $T(f)$  corresponding to the ~~wavelength of each signal light is expressed by using~~as a function of frequency  $f$ , wherein  $f_c$  is a the center frequency  $f_c$  of the transmission band, and  $\Delta f$  is a full width at half maximum  $\Delta f$  of the transmission band, and a filter order "n",

$$T(f) = 10 \cdot \log \left[ \exp \left\{ -2 \cdot \ln \sqrt{2} \cdot \left( \frac{|f - f_c|}{\Delta f/2} \right)^{2n} \right\} \right] \quad (\text{dB})$$

~~spectrum efficiency at which the product of a transmission distance and a transmission capacity becomes a maximum value is calculated based on the assumed equation model, and~~

wherein a bit rate and frequency spacing of the signal ~~light~~lights are set so as to approach ~~the~~a spectrum efficiency at which ~~the~~a product of ~~said~~a transmission distance and ~~said~~a transmission capacity becomes the maximum value, and ~~also~~ actual transmission characteristics at the time of multiplexing and demultiplexing the signal light ~~is~~are set in accordance with said equation model, to transmit the wavelength division multiplexed signal light.

18. (Currently Amended) A wavelength multiplexing apparatus for multiplexing optical signals with a plurality of wavelengths, comprising:

a polarization independent filter ~~having~~narrowing a transmission bandwidthband narrower than ~~a spectrum width obtained based on a bit rate and a type of coding of each of said optical signals~~of the multiplexed signals,

wherein the type of modulation of said signal light is determined to be an NRZ modulation type,

wherein said polarization independent filter has transmission characteristics in which a transmission bandwidth is set in accordance with ~~the~~an equation model expressed by the following equation in which ~~the shape of each transmission band  $T(f)$  corresponding to the wavelength of each signal light is expressed by using~~as a function of a frequency  $f$ ,  $f_c$  being the center frequency  $f_c$  of the transmission band, and  $\Delta f$  being a full width at half maximum  $\Delta f$  of the transmission band, ~~and a filter order "n",~~

$$T(f) = 10 \cdot \log \left[ \exp \left\{ -2 \cdot \ln \sqrt{2} \cdot \left( \frac{|f - f_c|}{\Delta f/2} \right)^{2n} \right\} \right] \quad (\text{dB})$$

, and

wherein each component on a short wavelength side and a long wavelength side of each of said optical signals of the plurality of wavelengths is eliminated by said polarization independent filter, thereby generating a wavelength division multiplexed light in which spacing of said optical signals is made narrower than ~~a~~ an initial spectrum width to be output .

19. (Canceled).

20. (Currently Amended) A wavelength demultiplexing apparatus for demultiplexing wavelength division multiplexed light obtained by multiplexing optical signals with a plurality of wavelengths, comprising:

a polarization independent filter ~~having narrowing a band narrower than bandwidth of a spectrum width obtained based on a bit rate and a type of coding of each of said optical signals,~~

wherein the type of modulation of said signal light is determined to be an NRZ modulation type,

wherein said polarization independent filter has transmission characteristics in which a transmission bandwidth is set in accordance with ~~the~~ an equation model expressed by the following equation in which ~~the shape of each transmission band T(f) corresponding to the wavelength of each~~ a signal light is expressed by using as a function of a frequency f, the  $f_c$  being a center frequency  $f_c$  of the transmission band, and  $\Delta f$  being a full width at half maximum  $\Delta f$  of the transmission band, and a filter order "n",

$$T(f) = 10 \cdot \log \left[ \exp \left\{ -2 \cdot \ln \sqrt{2} \cdot \left( \frac{|f - f_c|}{\Delta f/2} \right)^{2n} \right\} \right] \quad (\text{dB})$$

, and

wherein each component on a short wavelength side and a long wavelength side of each of said optical signals is eliminated by said polarization independent filter, thereby ~~demultiplexing~~ optical signals with a plurality of wavelengths in which spacing of said optical signals is made narrower than ~~said~~ an initial spectrum width to be output.

21. (Canceled).

22. (Currently Amended) An optical transmission system including a wavelength multiplexing apparatus for multiplexing optical signals with a plurality of wavelengths, and a

wavelength demultiplexing apparatus for demultiplexing wavelength division multiplexed light obtained by multiplexing optical signals with a plurality of wavelengths, wherein each wavelength multiplexing apparatus and wavelength demultiplexing apparatus comprise:

a polarization independent filter ~~having narrowing a band narrower than a spectrum width obtained based on a bit rate and a type of coding of each of said transmission bandwidth of the optical signals in the wavelength division multiplexed light,~~

wherein the type of modulation of said signal light is determined to be an NRZ modulation type,

wherein said polarization independent filter has transmission characteristics in which a transmission bandwidth is set in accordance with ~~the an~~ equation model expressed by the following equation in which ~~the shape of each transmission band T(f) corresponding to the wavelength of each a signal light is expressed by using as a function of a frequency f, the fc being a~~ center frequency  $f_c$  of the transmission band, and  $\Delta f$  being full width at half maximum  $\Delta f$  of the transmission band, and a filter order "n",

$$T(f) = 10 \cdot \log \left[ \exp \left\{ -2 \cdot \ln \sqrt{2} \cdot \left( \frac{|f - f_c|}{\Delta f/2} \right)^{2n} \right\} \right] \quad (\text{dB})$$

, and

wherein each component on a short wavelength side and a long wavelength side of each of said optical signals of the plurality of wavelengths is eliminated by said polarization independent filter, thereby, in said wavelength multiplexing apparatus, generating a wavelength division multiplexed light in which spacing of said optical signals is made narrower than ~~said an~~ initial spectrum width to be output, and in said wavelength demultiplexing apparatus, demultiplexing the optical signals with the plurality of wavelengths in which spacing of said optical signals is made narrower than said initial spectrum width to be output.

23-24. (Canceled).

25. (Currently Amended) A method of transmitting multiplexed light signals with different wavelengths through an optical fiber, comprising:

optimizing a transmission characteristic corresponding to each light signal by superimposing a gaussian filter centered on a frequency of each light signal, which narrows ~~the~~ a bandwidth of the light signal before multiplexing the light signals,

wherein the type of modulation of said signal light is determined to be an NRZ modulation type, and

wherein said gaussian filter has transmission characteristics in which transmission bandwidth is set in accordance with ~~the~~ an equation model expressed by the following equation in which ~~the shape of each transmission band T(f) corresponding to the wavelength of each a~~ signal light is expressed ~~by using~~ as a function of a frequency f, fc being a ~~the~~ center frequency ~~fe~~ of the transmission band, and Δf being a full width at half maximum ~~Δf~~ of the transmission band, and a filter order "n",

$$T(f) = 10 \cdot \log \left[ \exp \left\{ -2 \cdot \ln \sqrt{2} \cdot \left( \frac{|f - f_c|}{\Delta f / 2} \right)^{2n} \right\} \right] \quad (\text{dB}).$$